

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
10/775;110	02/11/2004	Shigeru Tago	HIRA.0143 3621		
7590 04/17/2007 Reed Smith LLP			EXAMINER		
Suite 1400	-	SKIBINSKY, ANNA			
3110 Fairview Park Drive Falls Church, VA 22042-4503			ART UNIT	PAPER NUMBER	
,	•		1631		
	•		MAIL DATE	DELIVERY MODE	
			04/17/2007	PAPER	

Please find below and/or attached an Office communication concerning this application or proceeding.

## Advisory Action Before the Filing of an Appeal Brief

Application No.	Applicant(s)	
10/775,110	TAGO ET AL.	
Examiner	Art Unit	
Anna Skibinsky	1631	

	Anna Skibinsky	1631	I
The MAILING DATE of this communication appe	ars on the cover sheet with the o	correspondence add	ress
THE REPLY FILED 28 February 2007 FAILS TO PLACE THIS			
1.  The reply was filed after a final rejection, but prior to or on this application, applicant must timely file one of the follow places the application in condition for allowance; (2) a No a Request for Continued Examination (RCE) in compliance time periods:	the same day as filing a Notice of wing replies: (1) an amendment, aff tice of Appeal (with appeal fee) in the	Appeal. To avoid aba fidavit, or other eviden compliance with 37 Cl	nce, which FR 41.31; or (3)
a) The period for reply expires 3 months from the mailing date			
b) The period for reply expires on: (1) the mailing date of this A no event, however, will the statutory period for reply expire I Examiner Note: If box 1 is checked, check either box (a) or TWO MONTHS OF THE FINAL REJECTION. See MPEP 7	ater than SIX MONTHS from the mailin (b). ONLY CHECK BOX (b) WHEN THI	g date of the final rejection	on.
Extensions of time may be obtained under 37 CFR 1.136(a). The date have been filed is the date for purposes of determining the period of exunder 37 CFR 1.17(a) is calculated from: (1) the expiration date of the set forth in (b) above, if checked. Any reply received by the Office later may reduce any earned patent term adjustment. See 37 CFR 1.704(b)	on which the petition under 37 CFR 1. tension and the corresponding amount shortened statutory period for reply orig r than three months after the mailing da	of the fee. The appropri inally set in the final Office	iate extension fee ce action; or (2) as
NOTICE OF APPEAL  2. The Notice of Appeal was filed on A brief in comp filing the Notice of Appeal (37 CFR 41.37(a)), or any exte a Notice of Appeal has been filed, any reply must be filed AMENDMENTS	nsion thereof (37 CFR 41.37(e)), to	o avoid dismissal of th	ns of the date of e appeal. Since
3. The proposed amendment(s) filed after a final rejection,	but prior to the date of filing a brief	, will <u>not</u> be entered b	ecause
<ul> <li>(a)    ☐ They raise new issues that would require further co</li> <li>(b) ☐ They raise the issue of new matter (see NOTE below)</li> </ul>	nsideration and/or search (see NO ow);	TE below);	
(c) They are not deemed to place the application in be appeal; and/or	tter form for appeal by materially re	aucing or simplifying	the issues to
(d) They present additional claims without canceling a	corresponding number of finally rei	ected claims.	
NOTE: <u>see continuation sheet</u> . (See 37 CFR 1.11			
4. The amendments are not in compliance with 37 CFR 1.1		mpliant Amendment	(PTOL-324).
5. Applicant's reply has overcome the following rejection(s)			
<ol> <li>Newly proposed or amended claim(s) would be a non-allowable claim(s).</li> </ol>	llowable if submitted in a separate,		
7.  For purposes of appeal, the proposed amendment(s): a) how the new or amended claims would be rejected is pro The status of the claim(s) is (or will be) as follows: Claim(s) allowed:		ll be entered and an e	explanation of
Claim(s) allowed: Claim(s) objected to: <u>6 and 8</u> .			
Claim(s) rejected: <u>1,3,4 and 6-8</u> .			
Claim(s) withdrawn from consideration:			
AFFIDAVIT OR OTHER EVIDENCE	b f a. a. aba data of filing o N	lation of Annual will be	at he entered
8. The affidavit or other evidence filed after a final action, but because applicant failed to provide a showing of good an was not earlier presented. See 37 CFR 1.116(e).	d sufficient reasons why the affidar	vit or other evidence is	s necessary and
9. The affidavit or other evidence filed after the date of filing entered because the affidavit or other evidence failed to showing a good and sufficient reasons why it is necessar	overcome <u>all</u> rejections under appe y and was not earlier presented. S	al and/or appellant fa See 37 CFR 41.33(d)(	ils to provide a 1).
10. 🔲 The affidavit or other evidence is entered. An explanation	on of the status of the claims after e	intry is below or attact	hed.
REQUEST FOR RECONSIDERATION/OTHER		en en l'Aran Famallance	
<ul> <li>11.  The request for reconsideration has been considered by see continuation sheet.</li> <li>12.  Note the attached Information Disclosure Statement(s).</li> </ul>		n condition for allowal	nce because:
13. Other:	(1.1010B100) 1 apel 110(3).	MX	
	RAM R. S SUPERVISORY	SHUKLA, PH.D. PATENT EXAMINE	ER
	301 2111.3311		

## Continuation of Item 3 NOTE:

The proposed amendments to claims 1, 4, 6 and 8 directed to a method of calculating the frequency of appearance of a keyword introduce new issues requiring further search and consideration. Further, the proposed amendments to claims 1 and 4 would introduce the substantive change of limiting the claimed method to "consisting" of the steps recited in said claims. Additionally, claims 1 and 4 recites new limitations such as "automatically and mechanically counting per document a number of extracted second documents" and "said key word table thereby showing numbers of documents including said keywords", which have not been previously presented. The amendments change the scope to the claimed invention and require a review of the prior art, which requires new search and consideration.

## Continuation of Item 11 NOTE:

The rejections in the previous Office action mailed 11/29/2006 are maintained for reasons of record.

Claims 1, 2, 4, and 6-8 are rejected under 35 USC 103(a) as being unpatentable over Murray et al. in view of Getchius et al. Applicants arguments are directed to amendments to the instant claims which have not been entered onto the record.

Claims 6 and 8 were objected to for minor informalities. The proposed Final amendment, if entered, would be sufficient to overcome the objections to claims 6 and 8.

In the Remarks filed after-final (3/13/2007) Applicants argue that "Murray's 'journal titles'" are different from "key words of known functions or characteristics of genes or proteins". In response, this argument is not persuasive because Murray et al. teach the extraction of information from journal abstracts where a frequency score is then attached to journal titles. Here, the journal titles read on the limitation of being keywords of a known function or characteristic, as recited in claim 1, lines 13-15.

Applicants further argue (Remarks, page 8, lines 5-19) that Getchius, relied upon for a teaching of a tree structure, involves the viewing of a second database which is not intended in the instantly claimed invention. Further, that Getchius teaches a business listing. In response and as reiterated in the maintained rejection of record, the teaching of Getchius sets forth a database where data is organized in a tree structure. Ordering data in the form of a tree is a computational data structure well known in the art, as evidenced by the teaching of Getchius, and applicable to any form of data. Geitchis teaches the need to organize data (col. 21, lines 5-6 and col. 33, lines 17-26).

RAM R. SHUKLA, PH.D.
SUPERVISORY PATENT EXAMINER